

## Investor Complaints

Format for Investor Complaints Data to be displayed by Stock Brokers/DP on their respective websites

### Data for every month ending

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	NSE	0	0	0	0	0	0	0
2	BSE	0	0	0	0	0	0	0
3	CDSL	0	0	0	0	0	0	0
4	NSDL	0	0	0	0	0	0	0
5	Smart ODR	0	0	0	0	0	0	0
6	Directly from Investors	0	0	0	0	0	0	0
7	SEBI (SCORES)	0	1	0	0	0	0	0
8	Other Sources (if any)	0	0	0	0	0	0	0
	<b>Grand Total</b>	0	1	0	0	0	0	0

### Trend of monthly disposal of complaints

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	January – 2025	0	0	0	0
2	February – 2025	0	0	0	0
3	March – 2025	0	1	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

S.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1.	2018-19	0	0	0	0
2.	2019-20	0	0	0	0
3.	2020-21	0	0	0	0
4.	2021-22	0	2	2	0
5.	2022-23	0	0	0	0
6.	2023-24	0	6	6	0
7.	2024-25	0	1	0	0
	Grand Total	0	9	8	0