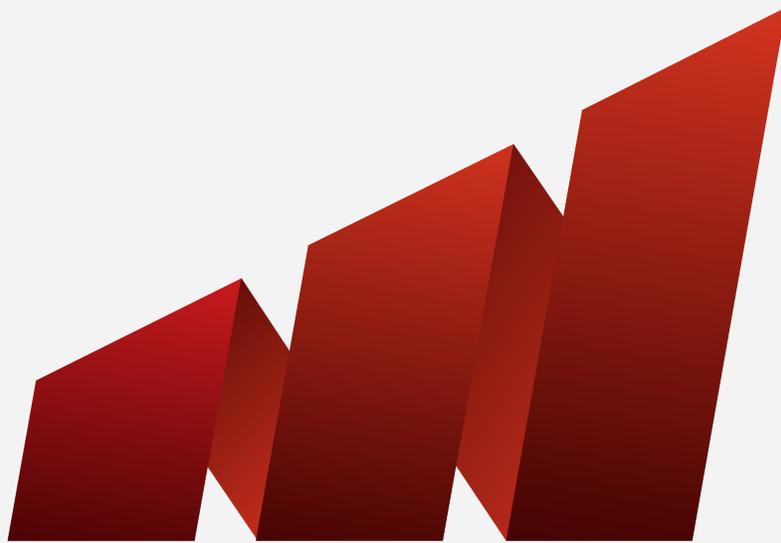




MANGAL KESHAV

NURTURING WEALTH, SINCE 1939

ACCOUNT OPENING FORM ► INDIVIDUAL



Branch	AP Code	BO ID	Trading Code	DP AMC Scheme
Equity Introducer	Commodity Introducer	Equity RM	Commodity RM	Form No.

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INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1.	KRA Form and Account Opening Form	KYC Form - Document captures information about the constituent	1 - 7
2.	Tariff Sheet	Document detailing the rate / amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges / Fee Structure	8
3.	Self Declaration For Commodity Derivatives	Document seeking declaration from the client related to commodities and categories	9
4.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	SEPARATE COPY FOR CLIENT ALSO AVAILABLE ON www.mangalkeshav.com
5.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member/Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet / wireless technology based trading) & of Beneficial Owners and Depository Participants	
6.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market	
7.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors	
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
1.	Power of Attorney for the purpose of settlement/Margin obligation	POA provided by BO to MKFSL for settlement/ Margin purpose	10
2.	Client Declaration	Declaration with regards to open interest position, high value transaction	15
3.	Client Authorisation	Authorisation to maintain Running Account	15
4.	Client Declaration	Declaration pursuant to SEBI circular SEBI/HO/ CDMRD/ DNPMP/CIR/P/2019/08	15
5.	Voluntary Terms & Conditions	Additional terms & conditions specific to clients for the purpose of operational efficiency (separate copy for client also available on www.mangalkeshav.com)	

IMPORTANT NOTE

1. Signature Types:

 Signature of Client/First Holder	 Signature of Second Holder	 Signature of Third Holder	 Signature of Introducer
 Signature of Guardian	 Authorised Signatory	 Signature of First Witness	 Signature of Second Witness

2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

IMPORTANT INSTRUCTIONS

1. All details to be filled in Capital Block letters in Black/Blue Ink Only.
2. Email ID & Mobile number is mandatory for account related passwords and transaction details.
3. Corrections in the KYC form should be counter signed.
4. Strike off whichever option, in the account opening form, is not applicable.
5. All Originals to be produced for physical verification.
6. If any proof of identity or address is in a regional language, then translation into English is required.
7. Sole proprietor must make the application in his individual name & capacity.
8. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.

CHECKLIST FOR KYC (PLEASE TICK WHEREVER APPLICABLE)

CKYC Reference No. : 14 DIGITS KYC NUMBER

Valid documents (Copies of all documents to be self attested)		Please Tick
PAN Card	Account Holder & Joint Holder's (If any)	
Photograph	One Colored Passport Size Photograph	
Additional Proof of Identity (Any One)	a. PAN Card	<input type="checkbox"/> KRA document used (Email Id & Phone number to be provided on given KRA Form)
	b. Voter ID	
	c. Valid Passport	
	d. Valid Driving License	
	e. Unique Identification Number (UID) (Aadhaar)	
	f. Other (Pls. Specify) _____	
Proof of Address (Any One) Permanent/ Correspondence	a. Voter ID	<input type="checkbox"/> KRA document used
	b. Valid Passport	
	c. Valid Driving License	
	d. Unique Identification Number (UID) (Aadhaar)	
	f. Electricity Bill (not more than 3 months old)	
	g. Resident Landline Tel. Bill (not more than 3 months old)	
	h. Other (Pls. Specify) _____	
Bank Proof With MICR/IFSC Code (1st Holder only)	a. Bank Statement / Bank Passbook with cheque leaf (not more than 3 months old)	
	b. Banker's Certificate on letter head of the Bank (ORIGINAL)	
	c. Cancelled Personalized Cheque leaf	
Demat Proof (Any One) (1st Holder only)	a. Client Master (CML copy duly attested by DP)	
	b. DP Statement/Transaction cum Holding Statement (Duly attested by DP)	
Proof of Income (Any One) Mandatory for Derivatives Segments) (1st Holder only)	a. Copy of latest ITR acknowledgment	
	b. In case of salary income - Salary Slip, Copy of Form 16	
	c. Net-worth certificate (Not more than 1 year old)	
	d. Copy of Demat Account Holding Statement (not more than 3 months old)	
	e. Bank Account Statement for last 6 months reflecting income	

FATCA/CRS Declaration / Self Certification For Individual:

Client Name		First Holder	Second Holder	Third Holder
1.	Are you Indian Resident Person? <small>(Refer Risk Disclosure Document at www.mangalkeshav.com)</small>	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Specify country of residence for tax purpose (If above clause is no)			
3.	Specify Tax Identification No. / Others			

Note: If you are a U.S. person and/or if your tax residency/nationality/citizenship is other than India, then please provide declaration/self certification under FATCA/CRS. This declaration form can be downloaded from www.mangalkeshav.com or call at KYC Helpdesk at (022) 6190 7977 or write to kyc@mangalkeshav.com

1/21

Signature of 1st Holder

1/13

Signature of 2nd Holder

1/13

Signature of 3rd Holder



KNOW YOUR CLIENT (KYC) | APPLICATION FORM (FOR INDIVIDUALS ONLY)

Please fill in ENGLISH & BLOCK LETTERS with black/blue ink & tick the appropriate options

Application No.:

A. IDENTITY DETAILS

1. Name of Applicant		<div style="border: 1px solid gray; padding: 10px; width: 150px; margin: 0 auto;"> <p>PHOTOGRAPH</p> <p style="font-size: small;">Signature of 1st Holder</p> </div> <div style="text-align: center; margin-top: 10px;"> 2/21 </div>		
Father's/Spouse Name				
2. Mother Name				
3. a. Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female		b. Date of birth	DD/MM/YYYY
c. Marital Status	<input type="checkbox"/> Single		4. Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Other
	<input type="checkbox"/> Married			<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable
5. Status	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Individual			
6. PAN			7. Aadhar	
8. Specify the proof of identity submitted:				

B. ADDRESS DETAILS

1. Correspondence Address			
City/Town/Village		Pin Code	
State		Country	
2. Contact/Mobile No		Tel (Res.)	
Tel (Off.)		Email id	
3. Specify the proof of address submitted for Correspondence Address:			
4. Permanent Address		<input type="checkbox"/> Same as correspondence address	
<small>(If different from above correspondence address)</small>			
City/Town/Village		Pin Code	
State		Country	
5. Occupation		<input type="checkbox"/> S-Service <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt Sector)	
<small>(Please tick)</small>		<input type="checkbox"/> O-Others <input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student)	
		<input type="checkbox"/> B-Business <input type="checkbox"/> X-Not Categorised	
6. Specify the proof of address submitted for Permanent Address			

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place		Signature of Applicant 3/21
Date	DD/MM/YYYY	

FOR OFFICE USE ONLY

Mangal Keshav Financial Services LLP		
Documents verified with Originals & In-Person Verification done by		
Name & E Code		
Designation	DD/MM/YYYY PLACE	
Date / Place		
Signature		

ADDITIONAL KYC DETAILS FOR DEMAT & TRADING ACCOUNT

TYPE OF ACCOUNT : Individual **Sub Status** Resident Other _____

A. BANK ACCOUNT DETAILS

	Bank 1 Details	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	Bank 2 Details	<input type="checkbox"/> Savings	<input type="checkbox"/> Current
Bank & Branch						
Account No.						
MICR		IFSC		MICR		IFSC

B. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)

	DP 1 Details	DP 2 Details
Depository	<input checked="" type="checkbox"/> CDSL	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL
DP Name	Mangal Keshav Financial Services LLP	
Client Name		
DP ID	12024700	
BO ID		

C. TRADING PREFERENCES *Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Exchanges	Segment	Client Signature	
NSE/BSE	Cash/Mutual Fund	Signature of Applicant  4/21	Signature of 1st Holder
NSE/BSE	Future & Options	Signature of Applicant  5/21	Signature of 1st Holder
NSE/BSE	Currency Derivative	Signature of Applicant  6/21	Signature of 1st Holder
MCX/NSE	Commodity Derivative	Signature of Applicant  7/21	Signature of 1st Holder

#If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/ any other authority against the applicant/ constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

No If yes, please specify

E. INTRODUCER DETAILS

Status of Introducer AP Employee Existing Client Others (Specify):

Introducer Name: _____ **AP/Emp/Client Code:** _____

Address of AP: _____

Mobile No: _____

DD/MM/YYYY

Signature of the Introducer



F. DEALING THROUGH AUTHORISED PERSON (AP) / OTHER STOCK BROKERS

If Yes, please specify:	Broker Member ID	
Name of Broker/AP	SEBI Registration No	
Name of Exchange	Trading Code	

Details of disputes/dues pending from/to such stock broker:

Whether you are a Member/AP of any Exchange Yes No

G. STANDING INSTRUCTIONS/OTHER DETAILS/EMAIL-SMS ALERT/CONTRACT NOTE PREFERENCE

Contract Note/Holding & Transaction Statement including CAS/Other Documents	<input type="checkbox"/> Physical	<input type="checkbox"/> Electronic
To receive / accept each and every credit and pledge instruction in my account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
To share email id with Registrar & Transfer Agent (RTA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Dividend/Interest to receive in bank account stated in KYC via ECS	<input type="checkbox"/> Yes	<input type="checkbox"/> No
To avail facility of Internet Trading & Wireless Technology	<input type="checkbox"/> Yes	<input type="checkbox"/> No
To receive Annual Report in Electronic mode	<input type="checkbox"/> Yes	<input type="checkbox"/> No
To receive Delivery Instruction Slip	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Basic Services Demat Account Facility (Please sign the BSDA Declaration)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Margin Trading Facility (Refer Rights & Obligation document)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> As per SEBI Regulation	
Declaration for Email	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent	
Declaration for Mobile Number	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent	

Note: To register for Easi please visit website www.cdslindia.com, where BO can view ISIN balances, transactions & portfolio online

H. MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)

<input type="checkbox"/> Jointly	<input type="checkbox"/> Anyone of the Holder
Consent for Communication to be received by first account holder/ all Account holder: (Tick the applicable box. If not marked the default option would be first holder).	
<input type="checkbox"/> First Holder	<input type="checkbox"/> All Holder
	<input type="checkbox"/> Second Holder
	<input type="checkbox"/> Third Holder
	Email Id

I. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002 & OTHER DETAILS

Experience	Number of years of Investment/Trading Experience <input type="checkbox"/> <input type="checkbox"/>		
Gross Annual Income	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs		
	OR Net Worth in ₹ (*Net worth should not be older than 1 year) as on date DD/MM/YYYY		
Constituent Profile	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Trading		
	<input type="checkbox"/> Consultancy <input type="checkbox"/> Others _____		
Client Category	<input type="checkbox"/> FPOs/Farmer <input type="checkbox"/> Value Chain Participant <input type="checkbox"/> Domestic Financial Institutional Investor		
	<input type="checkbox"/> Foreign Participant <input type="checkbox"/> Others		
Guardian Details	Name	PAN	
	CKYC Reference No.:	Relation	

Is the Client Politically Exposed Person (PEP) or Related to a PEP? Yes No

Is the Client Disabled? Yes No

J. GST REGISTRATION DETAILS

Registration No.	Registration Date	State Name
GSTIN No:	DD/MM/YYYY	

ACKNOWLEDGEMENT

To
Mangal Keshav Financial Services LLP. 501, Heritage Plaza, J P Road, Behind D.N. Nagar Metro Station, Andheri (West), Mumbai-400053, Maharashtra

ACKNOWLEDGEMENT

Dear Sir or Madam, I/We hereby acknowledge receipt of the following documents

1. Rights and Obligations of Stock Brokers, Sub-brokers and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
2. Risk Disclosure Document for Capital Market , Derivatives Segments and MCX Commodity Segment.

DD/MM/YYYY

ACKNOWLEDGEMENT

3. Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and depositories.
4. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors
5. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
6. Internet & Wireless Technology Based Trading Facility Provided by Members To client .
7. Additional voluntary rights and obligations as mutually agreed between Stock Brokers and Clients.
8. General Terms and Conditions governing Securities trading and broking services of Mangal Keshav Financial Services LLP
9. I hereby declare that I am giving my consent to activate Margin Trading Facility
10. Rights & Obligations Of Stock Brokers & Clients For Margin Trading Facility (MTF): <https://www.mangalkeshav.com/assets/pdf/rights-and-obligations.pdf>. Rights & Obligations and Risk Disclosure Document for Exchanges: <https://www.mangalkeshav.com/assets/pdf/risk-disclosure-document.pdf>
11. GTT Policy : <https://www.mangalkeshav.com/assets/compliance/Policies/policy-on-handling-of-good-till-cancelled-orders.pdf>
12. Account Freeze/Unfreeze Policy : <https://www.mangalkeshav.com/assets/compliance/Policies/DORMANT-ACCOUNT-POLICY.pdf>
13. We acknowledge the brokerage calculator provided to us and is acceptable to us :
 - a. call-n-trade charges and software charges including Mobile app.
 - b. All other statutory levies, taxes and brokerage shall be in addition to above.
14. I hereby declare that I am giving my consent to activate transactions in Mutual Funds and to share my KYC details to respective Registrar and Transfer Agent (RTA) and Asset Management Company(AMC).
15. The stock broker reserves the right to close the Trading or Demat account, provided that a 30-day prior notice is given to the client. This ensures both parties have adequate time to manage their affairs and transition smoothly.

I/We understand that the Voluntary documents executed by me/us are out of my/our own free will. I/We hereby confirm that I/We have clearly understood and agree to abide by the Terms and Conditions described by Mangal Keshav Financial Services LLP. I/We also understand that these Terms and Conditions can be changed by Mangal Keshav Financial Services LLP. from time to time with prior notice of 7 days and subject to posting of the amendments and modification therein on it's website and their application with prospective effect. All intraday as well as positional holding will be square up at 80% mark To mark (M-to-M) loss. This is as per RMS Policy. I understood and agreed to this. I/We state that I have read and understood all above documents and these documents are binding upon me.

Yours faithfully,

Client Name: _____

Signature:



Date: _____

Place: _____

KYC FORM RECEIPT

To
Mangal Keshav Financial Services LLP. 501, Heritage Plaza, J P Road, Behind D.N. Nagar Metro Station, Andheri (West), Mumbai-400053, Maharashtra
Subject: Acknowledgment of Receipt of Copy KYC/F&O/RDD

Dear sir

This is to Acknowledgment that I/We _____ I have received KYC Form, Rights and Obligations(R&O), Risk Disclosure Document(RDD), Guidance Note, Policies and Procedures, Tariff sheet and copy of other documentary executed by me /us as a Client for NSE(CASH+F&O), BSE(CASH+F&O) and MCX.

These documents are already sent on my email id and I/We hereby declare that I/We have the full intimation of trading code and email id for the purpose of receiving electronic contract notes and others

Client Name: _____

Signature:



Date: _____

Place: _____

Dated

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

I/We nominate the following person who is entitle to Security lying in my/our account, particulars whereof are given below, in the event my/our death.

UCC Code		DP ID	1	2	0	2	4	7	0	0	CLIENT ID								
-----------------	--	--------------	----------	----------	----------	----------	----------	----------	----------	----------	------------------	--	--	--	--	--	--	--	--

I/We wish to make a nomination.
[As per details given below] [Client Name]

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my/our death.

Nomination Details

Mandatory Details							Additional Details	
	Name of the nominee	Share of nominee %	Relation-ship	Postal Address	Mobile No. & Email	Identity Number	D.O.B of nominee	Guardian
Nominee 1								
Nominee 2								
Nominee 3								
Nominee 4								
Nominee 5								
Nominee 6								
Nominee 7								
Nominee 8								
Nominee 9								
Nominee 10								

*Joint Account

Event	Transmission Of Accounts
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder.

If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division/fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (See table in 'Transmission aspects')

Provide only number: PAN or Driving License or Aadhaar (last 4 digits). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.

To be furnished only in following conditions/circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

1. I/We want the details of my/our nominee to be printed in the statement of holding or statement of account, provided to me/us by the DP as follows; (please tick, as appropriate)

- Name of nominee(s)
 Nomination: Yes / No

2. I hereby authorize (nominee number) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He/She is authorized to encash my assets up to % of assets in the account or Rs. . (Optional) (strike off portions that are not relevant). This nomination shall supersede any prior nomination made by me / us, if any.

3. Signature(s) – As per the mode of holding in demat account(s)

Name(s) of holder(s)		Signature(s) of holder*
Sole/First Holder (Mr./Ms.)		 Signature of 1st Holder
Second Holder (Mr./Ms.)		 Signature of 2nd Holder
Third Holder (Mr./Ms.)		 Signature of 3rd Holder

Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Rights, Entitlement And Obligation Of The Investor And Nominee

- If you are opening a new demat account, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominee(s) shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account.
- In case all your nominees do not claim the assets from the DP, then the residual unclaimed asset shall continue to be with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account, in case of your physical incapacitation, at any point of time and not just during opening of account. This mandate can be changed any time you choose.
- The signatories for this nomination form shall be as per mode of holding in the demat account(s) i.e.:

- 'Either or Survivor' Accounts – any one of the holders can sign
- 'First holder' Accounts – only First holder can sign
- 'Jointly' Accounts – all holders have to sign

Transmission Aspects

- DPs shall transmit the account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion/ updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- In case of a joint account, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the DP.

In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% Share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% Share	Nominee	% Initial Share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

 CDSL

***Nomination Form For Demat & Trading Account (Individual's Only) (Annexure B)**

 NSDL

 I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

 Dated
UCC Code
DP ID

1 2 0 2 4 7 0 0

Sole/First Holder Name
Second Holder Name
Third Holder Name

Declaration for opting-out of nomination

I/ We hereby confirm that I/We do not wish to appoint any nominee(s) in my / our MF Folio/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our MF Folio/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio/demat account.

Name and Signature of Holder(s)*

11/21

Signature of 1st Holder

3/13

Signature of 2nd Holder

3/13

Signature of 3rd Holder

Name : 1st Holder

Name : 2nd Holder

Name : 3rd Holder

*Signature of witness, along with name and address are required. If the account holder affixes thumb impression, instead of signature

BROKERAGE DETAILS

Margin Collected:

BROKERAGE PLAN

Equity Segment	Equity/Futures/Currency		Equity Delivery		Options		Commodity Segment	Futures		Options (₹)
	%	Min (Paisa)	%	Min (Paisa)	Future (₹)	Currency (₹)		%	Min (Paisa)	
1 st Leg							1 st Leg			
2 nd Leg							2 nd Leg			

CHARGES FOR DEPOSITORY SERVICES

Sr.	Services	Schemes (Please Select)			
		Lifetime AMC	Investor	BSDA	
		<input type="checkbox"/> ₹1250	<input type="checkbox"/> ₹550	<input type="checkbox"/> ₹300	
1	Deliveries/Debit transaction (per ISIN) Within MKFSL Outside MKFSL	₹ 11/- ₹ 21/-	₹ 25/- ₹ 35/-	₹ 11/- ₹ 21/-	₹ 50/- ₹ 70/-
2	Charges for Pledge creation/closure/invocation and Re-Pledge (per ISIN)	₹ 25/-	₹ 50/-	₹ 25/-	₹ 100/-
3	Margin Pledge Creation, Closure, Invocation (per ISIN per instance)	Changes Amount (Exclusive of GST)*			
		₹ 12/-	₹ 12/-	₹ 12/-	₹ 12/-
4	Margin Pledge Creation, Closure, Invocation for MTF Client (per ISIN per instance)	₹ 24/-	₹ 24/-	₹ 24/-	₹ 24/-
5	Dematerialization (per Certificate) Postal Charges	₹ 10/- ₹ 30/-	₹ 20/- ₹ 60/-	₹ 10/- ₹ 30/-	₹ 40/- ₹ 120/-
6	Rematerialization (per Certificate) Postal Charges	Actual CDSL charges			
		₹ 30/-	₹ 60/-	₹ 30/-	₹ 120/-
7	Demat Rejections (per Certificate)		₹ 30/-		₹ 60/-

Note :

- ISIN would be debited in the client ledger.
- In case of every corporate account, CDSL AMC of ₹ 700/- & transaction charges of investor scheme will be applicable.
- CDSL levied Rematerialization charges as higher of (i) A fee of ₹10/- for every 100 securities or part thereof: OR (ii) A flat fee of ₹10/- per certificate.
- STT, SEBI turnover fees, stamp duty, transaction charges, GST, transaction charges & other statutory levies/CESS (if any) shall be levied as per prevailing rates.
- ₹ 25/- per request/booklet will be charged for additional DIS requisition, account statement, holding with valuation, account modification, freeze & unfreeze etc.
- Charges levied on account of cheque return, wrong reporting of cheques, DP scheme charges, duplicate statements etc. may be recovered from your account.
- Clearing charges will be charged as per below mentioned rates (charges per crore):

Segment	Futures (On Turnover)	Options (On Premium)
Equity/Currency Derivatives	35	2500

- Mangal Keshav Financial Services LLP reserves the right to change the charges for depository services from time to time at its sole discretion, under 30 days prior intimation to clients either by way of ordinary post or by an email and by notification on the back office interface.
- Minimum 70% turnover should be through online trading platform for online brokerage slab applicability.
- Brokerage levied to your trading account shall be the higher of brokerage value as per existing rates or ₹45/- per settlement/segment subject to maximum rate prescribed by regulator time to time.
- The scheme opted as per above table, will continue till we receive any change request from client.
- Any charges with regards to NERL/Comtrack etc. shall be levied separately.
- Commission of Clearing & Forwarding Agent/Commission agents, if appointed on behalf of clients for the purpose of taking of deliveries will be charged at actual.



Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

Name : 1st Holder

Name : 2nd Holder

Name : 3rd Holder

Self Declaration For Commodity Derivatives

As per SEBI Circular No SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated "January 04, 2019". I/We _____
 declare that I belongs to Category _____

Categorise the participants in the following six categories:

1. Farmers/FPOs: It includes participants such as farmers, farmers' cooperatives, Farmers Producers Organisations (FPOs) and such entities of like nature.
2. Value chain participants (VCPs): It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Producers, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
3. Proprietary traders: It includes the members of stock exchanges trading in their proprietary account.
4. Domestic financial institutional investors: It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
5. Foreign participants: It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
6. Others: All other participants which cannot be classified in the above categories

Sl No.	Commodity	Category	<input type="checkbox"/>
1	ALUMINIUM	Others	<input type="checkbox"/>
2	BRASS	Others	<input type="checkbox"/>
3	CARDAMOMO	Others	<input type="checkbox"/>
4	CASTORSEED	Others	<input type="checkbox"/>
5	COPPER	Others	<input type="checkbox"/>
6	COTTON	Others	<input type="checkbox"/>
7	CPO	Others	<input type="checkbox"/>
8	CRUDEOIL	Others	<input type="checkbox"/>
9	GOLD	Others	<input type="checkbox"/>
10	LEAD	Others	<input type="checkbox"/>
11	MENTHAOIL	Others	<input type="checkbox"/>
12	NATURALGAS	Others	<input type="checkbox"/>
13	NICKEL	Others	<input type="checkbox"/>
14	PEPPER	Others	<input type="checkbox"/>
15	RBDPMOLEIN	Others	<input type="checkbox"/>
16	SILVER	Others	<input type="checkbox"/>
17	ZINC	Others	<input type="checkbox"/>
18	KAPAS	Others	<input type="checkbox"/>
19	RUBBER	Others	<input type="checkbox"/>
20	MCXBULLDEX	Others	<input type="checkbox"/>
21	MCXMETLDEX	Others	<input type="checkbox"/>



Signature of 1st Holder

Name : 1st Holder



Signature of 2nd Holder

Date : 2nd Holder



Signature of 3rd Holder

Place : 3rd Holder

DEMAT DEBIT AND PLEDGE INSTRUCTIONS (DDPI)

To All to whom these presents shall come, I/We _____ (Name of the BO), Where as I/We hold a Beneficiary account with Central Depository Services (India) Limited through Mangal Keshav Financial Services LLP (here in after referred as MKFS LLP) bearing DPID 12024700 and having SEBI registration number IN- DP -358- 2018 And Where as I am an investor engaged in buying and selling of securities through MKFS LLP, having its registered office at 501, Heritage Plaza, JP road, opp. Indian Oil Colony, Andheri (W), Mumbai- 400053. A member of Bombay Stock Exchange Ltd (BSE), National Stock Exchange of India Ltd(NSE), (SEBI registration number INZ000166334) and also a member of Association of Mutual Fund in India (Mutual Fund ARN No. 165155) do here appoint MKFS LLP to exercise the following. The DP Account numbers of MKFS LLP for the purpose of this Instructions/authorization are mentioned below in Annexure A

BO ID		UCC			
Sr.	Purpose	Signature of the Client			
		Sole/First Holder	2 nd Holder	3 rd Holder	
1.	Transfer securities held in my beneficial owner account towards Stock Exchange related deliveries/ settlement obligations arising out of Trades executed by me on the Stock Exchange through you	 14/21 Signature of 1st Holder	 6/13 Signature of 2nd Holder	 6/13 Signature of 3rd Holder	
2.	Pledge / re-pledge securities in your favor for the purpose of meeting my margin requirements in connection with the trades executed by me on the Stock Exchange through you.	 15/21 Signature of 1st Holder	 7/13 Signature of 2nd Holder	 7/13 Signature of 3rd Holder	
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms	 16/21 Signature of 1st Holder	 8/13 Signature of 2nd Holder	 8/13 Signature of 3rd Holder	
4.	Tendering shares in open offers through Stock Exchange platforms	 17/21 Signature of 1st Holder	 9/13 Signature of 2nd Holder	 9/13 Signature of 3rd Holder	

ANNEXURE A

Depository	DP Name	DP ID	DP A/c No	Purpose	
CDSL	MANGAL KESHAV FINANCIAL SERVICE LLP	12024700	69	BSE CM POOL	
		12024700	59757	CLIENT ACCOUNT-CMFO	
		12024700	614455	CLIENT UNPAID SECURITIES PLEDGEE ACCOUNT-CUSPA	
		12024700	453	NSE CM POOL	
		12024700	582498	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT-CMFOCDSCOM	
		12024700	613466	POOL ACCOUNT-CMFOCDS	
		12024700	582975	CLIENT SECURITIES UNDER MARGIN FUNDING ACCOUNT-CM	
		12024700	612935	POOL ACCOUNT-SLBS	
	NATIONAL SECURITIES CLEARING CORPORATION LIMITED	INDIAN CLEARING CORPORATION LIMITED	11000023	1323	EARLY PAY IN-SLBS
			11000023	14612	NSE EARLY PAYIN
11000023		13893	BSE EARLY PAYIN		
NSDL	MANGAL KESHAV FINANCIAL SERVICE LLP	IN302783	10010649	CLIENT SECURITIES MARGIN PLEDGE ACCOUNTCMFOCDSCOM	
		IN302783	10011019	CLIENT UNPAID SECURITIES PLEDGEE ACCOUNT-CM CUSPA	
		IN302783	10000183	NSE TM POOL	
		IN302783	10000108	BSE CM POOL	
		IN302783	10000190	CLIENT ACCOUNT-CMFO	
		IN302783	10011043	NSE CM POOL	

This authorization shall be valid until I/We revoke the same by a written communication to your DP and securities division at Mumbai. This document shall be subject to exclusive jurisdiction in Mumbai.

Dated at Mumbai _____ day of _____ 20 ____.

MANGAL KESHAV FINANCIAL SERVICE LLP	Witness Signature	
	1. Sign	2. Sign
	Name: _____ Address: _____	Name: _____ Address: _____

For Individuals

Depository Participant Name/Address

(To be filled by the Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.										
DP ID										
		Client ID								

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holders Details

Sole / First Holder's Name	PAN													
	UID													
	UCC													
	Exchange Name & ID													
Second Holder's Name	PAN													
	UID													
Third Holder's Name	PAN													
	UID													

Name*

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type Of Account (Please Tick Whichever Is Applicable)

Status	Sub - Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others(specify) _____

Details Of Guardian (In Case The Account Holder Is Minor)

Guardian's Name		PAN	
Relationship with the applicant			

Additional KYC Form For Opening A Demat Account

Annexure 2.1

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic
I/ We wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
Bank Details [Dividend Bank Details]	
Bank Code (9 digit MICR code)	_____
IFS Code (11 character)	_____
Account number	_____
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (Specify) _____
Bank Name	_____
Branch Name	_____
Bank Branch Address	_____
City	_____
State	_____
Country	_____
PIN Code	_____
(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) (ii) Photocopy of the Bank Statement having name and address of the BO (iii) Photocopy of the Passbook having name and address of the BO, (or) (iv) Letter from the Bank. • In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.	
Other Details	Income Range Per Annum:
Gross Annual Income Details	<input type="checkbox"/> Up to Rs.1,00,000 <input type="checkbox"/> Rs 1,00,000 to Rs 5,00,000 <input type="checkbox"/> Rs 5,00,000 to Rs 10,00,000 <input type="checkbox"/> Rs 10,00,000 to Rs 25,00,000 <input type="checkbox"/> More than Rs 25,00,000
Net worth as on (Date)	D D M M Y Y Y Y Rs
<i>[Net worth should not be older than 1 year]</i>	
Occupation	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____
Please tick , if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)
Any other information:	_____
SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).
Easi	To register for easi, please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

[SMS Alerts will be sent by CDSL to BOs for all debits]**Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.

9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO’s personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/ transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number. I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time.

I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time. I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID

--	--	--	--	--	--	--	--

--	--	--	--	--	--	--	--

(Please write your 8 digit DPID) (Please write your 8 digit Client ID)

Sole / First Holder’s Name: _____

Second Holder’s Name: _____

Third Holder’s Name: _____

Mobile Number on which messages are to be sent:

+91									
-----	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: _____

Email ID: _____
(Please write only ONE valid email ID on which communication; if any, is to be sent)

 18/21 _____
Signature of 1st Holder

 10/13 _____
Signature of 2nd Holder

 10/13 _____
Signature of 3rd Holder

Place: _____

Date: _____

CLIENT DECLARATIONS AND AUTHORISATION

- **One Time Declaration About High Value Transactions:** I/We do hereby declare that being your client, I/We propose to undertake high value transactions (say Rs. 5 lakh and above or such other sum as may be prescribed by Regulator/NSE/BSE or any other authority from time to time) in a day on NSE/BSE through you as my/our equity/commodity broker. By this one time declaration, please note that the said transaction(s) would be for and on my/our behalf and entirely for my/our profit or loss only. I/We also declare that the transactions would not be carried out for any unauthorized/unregistered authorized person or for and on behalf of any other person/entity.
- **Running Account Authorisation:** I/We request you to maintain my/our accounts on running accounts basis instead of 'bill to bill' settlement basis, unless I/we specifically request you for a payout of available free balance in the account. You may settle the accounts at **Monthly / Quarterly** (strike off whichever is not applicable, else the option will be considered as **Quarterly**) or at such other intervals as SEBI / Stock Exchanges may specify from time to time. I/We further authorize you to retain such amount as may be permitted by Stock Exchanges/SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I/We further authorize you to any other sum as may be permitted by SEBI/Stock Exchanges from time to time, while settling my/our account. I/We understand and agree that no interest will be payable on the amount of funds retained by you as above. I/We agree that MKFSL shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such funds. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.
- **Letter Of Authority:** I hereby authorise my _____
Mr./Ms. _____ to trade on my behalf of me in my trading account code _____, I will be fully responsible for all trades done by authorised person in my trading account code. **(Subject to applicability)**



Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

NRI DECLARATION

To
KYC Department
Mangal Keshav Financial Services LLP
Mumbai

Dear Sir/Madam

With reference to my/our trading and or demat account, kindly note that we have complied and shall continue to comply with Foreign Exchange Management Act 1999 and the rules and regulations issued there under and other applicable laws In addition to the above kindly note our foreign residential address is as follows:

Residential address of 1st holder: _____

Residential address of 2nd holder: _____

Residential address of 3rd holder: _____

In case of any change in the above I/We would update MKFS LLP by complying with the applicable procedure.

Thanking you,

Yours faithfully,



Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

DECLARATION FOR AVAILING REGULAR DEMAT ACCOUNT FACILITY

VOLUNTARY DOCUMENT

(To be submitted by Demat Account holders who are eligible for BSDA facility but voluntarily opt for Regular Demat Account)

To:

Mangal Keshav Financial Services LLP

501, Heritage Plaza, J.P. Road, Andheri (W),
Mumbai-400053.

Dear Sir / Madam

I/ We have read and understood the regulatory (SEBI and Depository) guidelines relating to Basic Demat Services Account (BSDA). However, I/we do not wish to avail the BSDA facility for my/our Demat Account

I/We hereby specifically opt to avail the facility of a Regular Demat Account for my/our Demat Account mentioned below. I/We agree to pay the annual account maintenance and other charges as applicable to Regular accounts as informed by you from time to time.

DP ID	CLIENT ID							
12024700								

This letter will remain valid until it is withdrawn in writing by me/us.

Yours truly,

	Name of the Account Holder	Signature	PAN
Sole/First Holder		 21/21 Signature of 1st Holder	
2 nd Holder		 13/13 Signature of 2nd Holder	
3 rd Holder		 13/13 Signature of 3rd Holder	

Date:

Place

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Mangal Keshav Financial Services LLP

Registered and Correspondence Office :

501, Heritage Plaza, J.P. Road, Opp. Indian Oil Nagar, Andheri (West), Mumbai - 400 053.

Tel.: 022-6190 7900/9000 | Fax: 6679 0535 | Email:kyc@mangalkeshav.com | Website: www.mangalkeshav.com

Compliance Officer : Mr. Gyan Prakash | Tel.: 022-6190 7900/9000 | Email:gyan@mangalkeshav.com

Designated Partner Name: Mr. Ajay Shah | Tel.: 022-61907900/9000 | Email ID: ajay@mangalkeshav.com

Registration Details:

BSE INB INZ000166334	Date of Reg.: 19-06-2018	• BSE FO INZ000166334	Date of Reg.: 19-06-2018
NSE INB INZ000166334	Date of Reg.: 19-06-2018	• NSE FO INZ000166334	Date of Reg.: 19-06-2018
NSE CURRENCY INZ000166334	Date of Reg.: 19-06-2018	• MCX INB INZ000166334	Date of Reg.: 25-06-2025

Trading Member Code:

NSE Member Code: 09774 | BSE Member Code: 0491 | MCX Member Code: 57435

CDSL AND NSDL IN-DP-358-2018 Date of Reg.: 03-04-2018.

Professional Clearing Member Details

Globe Capital Market Limited

609, Ansal Bhawan, 16, K. G. Marg, Connaught Place, New Delhi-110 001 (India),

Phones: 91-11-30412345 (30 Lines) | Fax: 91-11-23720883 | 91-11-23766739

For any grievance/dispute please contact the Compliance Officer of **Mangal Keshav Financial Services LLP** at the registered address of the company or Email: investorgrievances@mangalkeshav.com and Tel.: 022-6190 7900. In case not satisfied with the response, please contact the concerned exchange(s) BSE at is@bseindia.com, Tel.: 022 2272 8097, NSE at ignse@nseindia.co.in Tel.: 022 26598100 , MCX: grievance@mcxindia.com or contact at 022-67318888